

Express Mail Label No. EL378863700US
PATENT

Attorney Docket No. Annampedu 1
[D8143-00305]

1/22/02 #2
M-J
Jc971 U.S. PTO
09/976854
10/12/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: V. Annampedu

Filed: October 12, 2001 (herewith)

For: **SCHEME TO IMPROVE PERFORMANCE OF TIMING RECOVERY SYSTEMS
FOR 'READ' CHANNELS IN A HARD DISK DRIVE**

Certification under 37 CFR § 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the U.S. Postal Service in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR § 1.10, Mailing Label Number EL378863700US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231

Date 10/12/01

V.R. Oswald
Vicki R. Oswald

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR § 1.56 in connection with the above-identified application, which statement is being filed:

- ☒ Together with the present application.
- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]

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- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☒ No separate requirements are needed.

☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$240.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

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Provision of Copies of References

- ☒ [X] A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.
- ☐ [] A partial translation of Reference ____ is enclosed herewith and forms a part hereof.
- ☐ [] A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☐ [] A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- ☐ [] In accordance with § 1.98(d), copies of some or all of the referenced listed on the attached Form PTO-1449 (modified) are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in prior Application Serial No. _____, filed on _____; for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.
- ☒ [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Agere Deposit Account No. 50-1735. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: 10-12-01



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